

# ***National Standards for Feed Regulatory Programs***

A joint project between the U.S. Food and Drug Administration  
and the Association of American Feed Control Officials

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March 11, 2014

# Background and Development

## Background - Timeline

- Sept. 2009 – FDA released a visioning document for creating an Integrated Food Safety System
- August 2010 – Creation of national standards for animal feed programs was recommendation from PFP 50-State workshop
- October 2010 – AAFCO and FDA discussed entering into partnership to develop feed standards

## Background – Timeline (cont.)

- January 2011 – Passage of FSMA, which calls for enhanced partnerships between FDA and State agencies
- January 2011 – Committee was formed to develop the AFRPS
- July 2013 – Publication of 60-day FR Notice
- November 2013 – Publication of 30-day FR Notice
- January 2014 – Clearance of AFRPS

## Background – Why Standards?

- AFRPS helps promote uniformity and consistency among animal feed regulatory programs
- AFRPS provides a platform for mutual reliance within the IFSS
- Provides programs with a mechanism for self-assessment and continual improvement against a national standard

## Overview of Development Phase

- Organization of the document consists of the standards and accompanying appendices
- All Committee members were provided an opportunity to review and provide comments on all standards and appendices
- Standards: Program Elements, Outcomes, and Required Documentation
- Appendices: Worksheets and examples

# Overview of The AFRPS Standards

1. Regulatory Foundation
2. Training
3. Inspection Program
4. Auditing
5. Feed-Related Illness or Death  
and Emergency Response
6. Enforcement Program
7. Outreach Activities
8. Planning and Resources
9. Assessment and Improvement
10. Laboratory Services
11. Sampling Program



## Standard 1: Regulatory Foundation

- Compare state laws and rules to corresponding Federal laws and regulations
- Defined terms: equivalency, equivalency in effect, and not equivalent
- Required process and timeframe for reviewing statutes, regulations, rules

## Standard 2: Training

- Basic and advanced coursework
- Field training
- Continuing education
  - Required contact hours (36 hours:36 months)
  - Defined contact hour (60 minutes)
  - Varied options: speak, attend, learn, read, and publish

## Standard 2: Training – cont.

- Provision for missing training documents
  - Inspectors with greater than 5 years experience at the date of the initial self assessment
  - Specific subject areas must be identified and evaluated
  - Evaluation of inspector's previous performance and experience required to determine:
    - Required training for subject area is complete
    - Additional training is needed

## Standard 3: Inspection Program

- Define and maintain feed facility inventory
- Categorize feed facilities by required risk factors:
  - the type of processing
  - type of feed
  - compliance history of the feed facility
- Required procedures for updating inventory of feed facilities, inspecting, recall audits, complaints

## Standard 4: Auditing

- State internal audit
- Field audit component
  - On-site performance evaluation of inspection and sample collection
- Desk audit component
  - Performance review of inspection and sample collection reports
- Criteria for corrective action defined

## Standard 5: Feed-related Illness or Death and Emergency Response

- Procedures for evaluating incoming information
- Criteria for determining appropriate response
- Official action plan or ICS for feed-related emergencies
- Emergency contact list
- Communication

## Standard 6: Enforcement Program

- Documented enforcement strategies
- Documented process for annual evaluation of enforcement strategies to identify potential improvements and modification
- Six factors for selecting enforcement tool
- Enforcement matrix provides relative conditions to each factor

## Standard 7: Outreach Activities

- Outreach plan required
- Content and design of the outreach plan will vary depending on the State program priorities and mission
- Outreach activity events are documented and evaluated



## Standard 8: Planning and Resources

- Workplan required that covers:
  - Inspections
  - Sample Collections
  - Designated timeframe
- Evaluate resources needed to implement workplan and routine program activities
- Evaluate resources needed to implement AFRPS

## Standard 9: Program Assessment

- Baseline evaluation
  - Fully met
  - Partially met
  - Not met
- Improvement plan
- Three-year review and evaluation

## Standard 10: Laboratory Services

- Formal agreement with laboratories managed outside the program that conduct routine analytical services
- Schedule for analyzing samples
- Procedures for handling samples
- Regulatory testing laboratories
  - AAFCO Quality Assurance/Quality Control guidelines
  - Managerial and technical requirements of ISO/IEC 17025
  - Accredited by a recognized body accreditation body

## Standard 11: Sampling Program

- Sampling plan
  - Sampling priorities
  - Sampling and analysis schedule
  - Availability/coordination of analytical support
- Sampling procedures
  - Methods for collecting, storing, and transporting samples
  - Instructions for documenting the sample collection

# Implementation

## “Prep Steps” for Implementation

- Read the AFRPS thoroughly
- Be aware and understand programmatic and documentation requirements
- Develop a plan to complete a self-assessment of your State feed program

## Year 1 - Implementation

- Conduct self-assessment
- Complete a self-assessment worksheet for each standard (except Std 9)
- Complete Appendix 9.1: Assessment and Improvement Plan
- Develop improvement plan

# Funding



# Auditing

# Benefits

# Benefits of Implementing Standards

## *A Tool to Assess Feed Programs*

- Increases consistency & uniformity among regulatory agencies
- Improves communications, cooperation, and coordination among agencies
- Allows Federal and State agencies to use each other's findings for effective, timely, and efficient regulatory actions

# Benefits of Implementing Standards

## *A Tool to Assess Feed Programs*

- Improves staff training
- Helps identify resources needs
- Improves documentation of accomplishments
- Provides a structured mechanism for self-assessment

# Recognition of AFRPS Committee Members

## FDA Representatives

Paul Bachman (CVM)  
Hitelia Castellanos (ORA)  
Meagan Davis (CVM)\*  
Jim Fear (ORA)  
Beverly Kent (project manager)  
Anita MacMullan (ORA)\*  
Diane McDaniel (ORA)  
Caleb Michaud (ORA)  
Jenny Murphy (co-lead)

## AAFCO Representatives

Ali Kashani (co-lead)  
Bob Geiger (IN)  
Ben Jones (TX)  
Doug Lueders (MN)  
Nancy Thiex (SD)◇

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# Collaboration

# Questions?

For additional info, please contact:

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